

Your privacy is important and West Financial Advisors, LLC is committed to maintaining the confidentiality, integrity, and security of the personal information entrusted to us by current, prospective, and former clients.

### **Information We Collect About You**

We collect personal information to provide you financial advice, establish and manage your portfolios, complete transactions on your behalf, and prepare your tax returns. The categories of personal information that we collect may include, but is not limited to:

- Financial history and details to provide advice such as your financial goals, family members, health status, financial and personal assets, liabilities, sources of income and expenses, income tax returns, employment compensation and benefits, insurance policies, charitable activities, and legal documents
- Information required on applications or forms, such as name, address, email address, social security number, birth date, and beneficiaries
- Transactional activity in your accounts, such as trading history, balances, and cost basis
- Tax forms to prepare income tax returns

### **Parties to Whom We Disclose Information**

For current and former clients, we do not disclose any nonpublic personal information obtained in the course of our practice, except as required or permitted by law. We share a limited amount of personal information with your investment custodian in order to execute transactions on your behalf and maintain your accounts.

We contract with non-affiliated companies to perform services for processing transactions for your accounts. In all such cases, we limit the information provided to third parties to what is necessary to carry out assigned responsibilities. We require these third parties to treat your private information with the same degree of confidentiality that we do. Federal and state securities regulators may review our firm records and your personal records as permitted by law.

### **Your Authorization to Share Information**

Account information will only be shared with the individuals who jointly sign a West Financial Advisors, LLC Wealth Management Agreement. At your request and with your authorization, we will share information with other family members, trusted contact, power of attorney, accountants, attorneys, and other professionals.

### **Confidentiality and Security of Nonpublic Information**

To protect your personal information from unauthorized access and use, we employ security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Personal information will be maintained while you are a client and for the required period thereafter by federal and state securities laws.

If our Privacy Policy is expected to change, we will notify you in advance. Contact our office at 515-284-1011 for questions about this privacy policy.